

1 Exploring trajectories of shifting-cultivation landscapes
2 through games: the case of Assam (India)

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24 cultivation

25 Abstract

- 26 1. Understanding landscape change starts with understanding what motivates farmers to
27 transition away from one system, shifting cultivation, into another, like plantation crops, given
28 that they often have limited labour and money available. In this study we explored the
29 resource allocation strategies of the farmers of the Karbi tribe in Northeast India, who practise
30 a traditional shifting cultivation system called jhum.
- 31 2. Through Companion Modelling, a participatory modelling framework, we developed a model
32 of the local farming system in the form of a role playing game. Within this environment local
33 jhum farmers participated in a simulation that covered 18 years of farming, while also allowing
34 us to analyse the impacts of their decisions together.
- 35 3. In the game, farmers allocated labour and cash to meet household needs, while also investing
36 in new opportunities like bamboo, rubber and tea, or the chance to improve their living
37 standards. When given new opportunities, the farmers were eager to embrace those options
38 where investment costs, especially monetary investments, are low.
- 39 4. Returns on these investments were not automatically re-invested in further long-term, more
40 expensive and promising opportunities. Instead, most of the money is spend on improving the
41 household living standards, and especially on the education of the next generation.
- 42 5. The landscape changed profoundly as a result of the farmer strategies. Natural ecological
43 succession was replaced by an improved fallow of marketable bamboo species. Plantations of
44 tea and rubber became more prevalent as time progressed. However, old practises that ensure
45 food security are not yet given up.

46 Introduction

47 Shifting cultivation is still widespread throughout the tropics, constituting the main agricultural
48 production system for the rural poor living predominantly in tropical forest margins. Landscapes

49 shaped by shifting cultivation currently cover roughly 280 million hectares worldwide (Heinimann et
50 al. 2017), with an estimated 30 and 40 million people directly depending on shifting cultivation in
51 Southeast Asia alone (Brady 1996, Mertz et al. 2009a). Trends in shifting cultivation differ worldwide,
52 with regions showing a rise in its occurrence, and others a decrease (van Vliet et al. 2012). It appears
53 that farmers are still both maintaining and departing from shifting cultivation (Fox and Vogler 2005,
54 Robiglio and Sinclair 2011, van Vliet et al. 2012, Adams et al. 2013).

55

56 The drivers affecting the practice of shifting cultivation are strongly linked to changes in local
57 demographics, such as population growth and migration, but also to economic development, road
58 network development, market access, agricultural policies, and changes in public attitudes (van Vliet
59 et al. 2012, Hurni et al. 2013, Cairns 2015, Cochard et al. 2016). Both public policies and an increased
60 access to economic structures (such as credit and cooperative) can push towards a reduction in the
61 area used for shifting cultivation (Fox et al. 2009, Mertz et al. 2009b). Though shifting cultivation often
62 blamed as a main cause of both forest degradation and deforestation, it is the discontinuation of
63 shifting cultivation, and its replacement by intensified land uses, that results in far larger negative
64 environmental impacts (Heinimann et al 2017), including the loss of biodiversity and reduced carbon
65 stocks (Bruun et al. 2009; Rerkasem et al. 2009). The patchy landscape, with vegetation of different
66 ages regenerating and maintaining a high conservation value, both for flora and fauna, ends up
67 becoming dominated by annual crops and/or permanent plantations grown in monocultures. The
68 transition away from shifting cultivation is often also associated with higher incomes and new
69 livelihood strategies (Cramb et al. 2009, Feintrenie et al. 2010). However, to date it remains unclear
70 how this transition affects local food security, health, education, social and cultural shifts, and long-
71 term economic development of the rural communities involved (van Vliet et al. 2012). In Southeast
72 Asia, the transition away from slash-and-burn agriculture towards intensified cropping systems
73 resulted in more households having an increased income, but also in a significant decline in livelihood
74 security. This was expressed by an overall reduction of customary practises, socio-economic wellbeing,

75 available livelihood options and stable yields, coupled with a decrease of soil organic carbon content
76 and aboveground carbon storage (Dressler et al. 2017).

77

78 The Karbi Anglong hills—Assam, northeast India—are predominately used for a shifting cultivation
79 system, locally known as jhum, and have not yet undergone significant changes (e.g., Neog 1997, Teron
80 and Borthakur 2009, Darlong 2017), despite efforts by the Indian Government to replace the perceived
81 ecologically harmful practices in the region with more economic beneficial cash crop systems (Krishna
82 2012, Darlong 2017). However, the area is not immune to socio-economic change. The national
83 highway separating Kaziranga National Park and the Karbi Anglong hills is being developed to become
84 India's main overland transport link to both China and Myanmar. Rubber, bamboo and tea are
85 emerging as alternatives to jhum (Krishna 2012) for small-scale farmers; their decisions have the
86 potential to shape both their livelihoods and the landscape.

87

88 To investigate drivers of landscape and livelihood changes in this jhum system, we adopted a
89 constructivist approach based on participatory modelling (Basco-Carrera et al. 2017, Redpath et al
90 2018). We co-developed together with local jhum farmers a simulation of their landscape in the form
91 of a role-playing game and invited them to play out their strategies. Specifically, we examined (i)
92 resource allocation: how jhum farmers allocated their resources (i.e., labour tokens and money) when
93 having the opportunity to plant rubber and tea and sell bamboo, and (ii) sources of income: how their
94 allocation strategies impacted their income in the game. From this we identified their (iii) livelihood
95 strategies: what their resource allocation revealed about their priorities and values concerning their
96 livelihood (*sensu* Scoones 1998), and recorded (iv) landscape cover change: what was the impact of
97 the farmer's decisions on the game board mimicking their landscape.

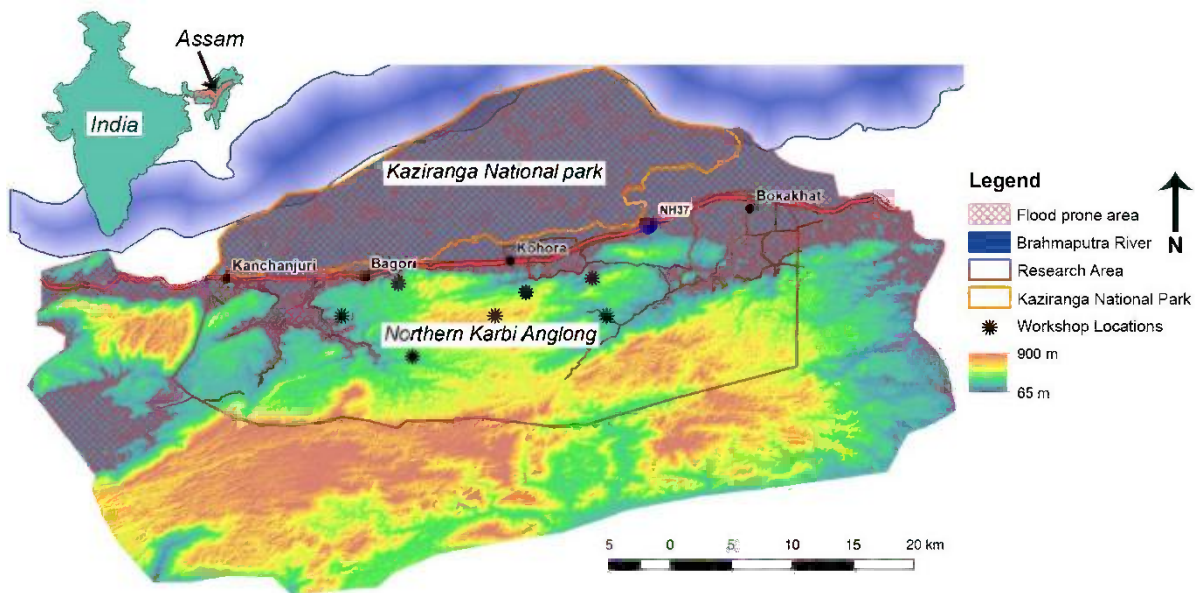
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99 Methodology

100 The case study was conducted in Assam (North Eastern Region of India), in the district of Karbi Anglong.
101 The region is home to over 220 different ethnic groups, many of them still practising their traditional
102 shifting cultivation (Amarjeet Singh 2008, Darlong 2017). The northern Karbi Anglong hills overlook the
103 Brahmaputra flood plains, bordering the Kaziranga National Park, a UNESCO world heritage site,
104 renowned for its biodiversity (Heinen and Shrivastava 2009) (Fig. 1). The hills also provide an important
105 wildlife refuge during monsoon floods. Local jhum farmers clear the forest to grow upland rice,
106 vegetables and cash crops such as ginger, chillies and sesame. After one or two growing seasons, the
107 field is abandoned, and weeds and bamboos are allowed to initiate ecological succession, until
108 secondary forest is re-established (Grogan et al. 2012, and references therein). Villages are located in
109 the valleys, which also allow some farmers to grow paddy rice.

110

111



112 Fig. 1: The northern hills of the Karbi Anglong district, located in Assam, India (Adapted from Schmid 2016).

113

114

115 Participatory approach

116 We use a Companion Modelling (ComMod) approach (Campo et al. 2010, Etienne et al. 2011, Etienne
117 2014) to co-construct with local farmers a shared representation of their landscape in a form of a role-
118 playing game. The foundation of ComMod is the willingness to incorporate on equal footing the
119 multiple and often conflicting viewpoints of the
120 stakeholders into a single model, validated by all
121 stakeholders involved in the process (Bousquet et
122 al. 1999, Bousquet et al. 2005,
123 Dumrongrojwathana and Trébuil 2011). Through
124 workshops facilitated by the research team,
125 stakeholders collectively decide and organise the
126 knowledge they consider relevant for the problem
127 at stake (e.g., Reibelt et al. 2017, Garcia et al. 2018).

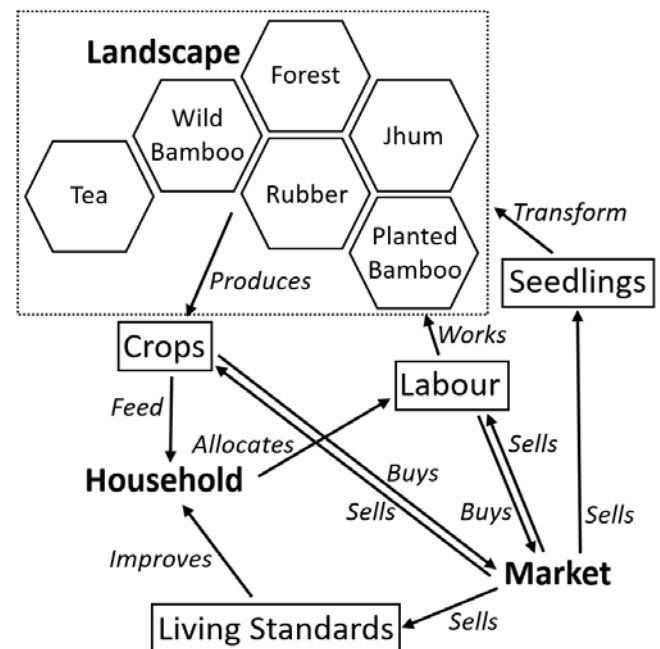


Fig. 2. The model used in the game. The three main game components are shown bold. The landscape is where production takes place, labour is invested and seedlings for establishing rubber and tea plantations are planted. The player's household supplies family labour, requires food for home consumption and can be improved by buying living standard tokens from the market. The market can be used to provide additional labour and food, as well as allowing for investments in rubber and tea seedlings and improvements to the players living standards. Game money is not shown as a separate resource, as it is used to facilitate all the other transactions in the model.

129 Model development

130 The conceptual model was built through an
131 iterative process of community participation and

132 engagement. We started the model development with a diagnostic phase using focus group
133 discussions and semi-structured interviews. We explored jhum farmers' preferences in regard to four
134 agricultural development opportunities that are currently available in the area, within the Jhum
135 system: (1) increase the production of cash crops, (2) plant bamboo in the fallows, (3) establish a tea
136 plantation or (4) plant rubber. In three villages a total of 36 individual and group interviews were
137 conducted, complementing the 16 game development workshops to parameterize, calibrate and verify
138 the model. The model was then turned into a role-playing game. Alongside the first three villages,
139 another four different villages were selected for running a simulation with local jhum farmers, while
140 also validating the model (Fig. 1).

141

142 [Role-playing game description](#)

143 The game explores how jhum farmers secure their livelihood and shape the landscape. Players embody
144 the role of jhum farmers and can invest and allocate labour tokens and game money into different
145 activities to produce crops for sale or home consumption, or to improve their standard of living (Fig.
146 2). Their actions in turn affect the composition of the landscape. The game has three distinct
147 components; the board that represents the Landscape, the players' Households that represent the
148 standard of living of the farmers and the Market
149 that represent all the transactions with the outside
150 world (Fig. 2).

151 The Landscape (game board) is where the players
152 choose to clear forest and plant their crops,
153 allocate their labour and plant bamboo, tea or
154 rubber plantations, or allow forest to naturally
155 regenerate. The initial landscape composition is
156 based on the analysis of Landsat 5, 7 and 8 satellite
157 images of the research area, spanning the period
158 between 1988 and 2015. This was done to ensure

159 that the landscape at the start of the simulation is representative of the general situation on the
160 ground, though not an exact copy of any specific location or village, thus representing an implicit
161 reality. The game board is made of tiles. Each tile has a specific land cover type (either forest, jhum,
162 wild bamboo, planted bamboo, rubber or tea), and the transitions between different land cover types
163 are based on the decisions of the players and on a set of rules mimicking the ecological succession of
164 the fallows and forests (Toky and Ramakrishnan 1983) (Fig. 3). The game is played in rounds, each
165 round representing the passing of time, loosely covering one growing season. Between rounds the
166 landscape becomes two years older, with the second round representing the state of the system three

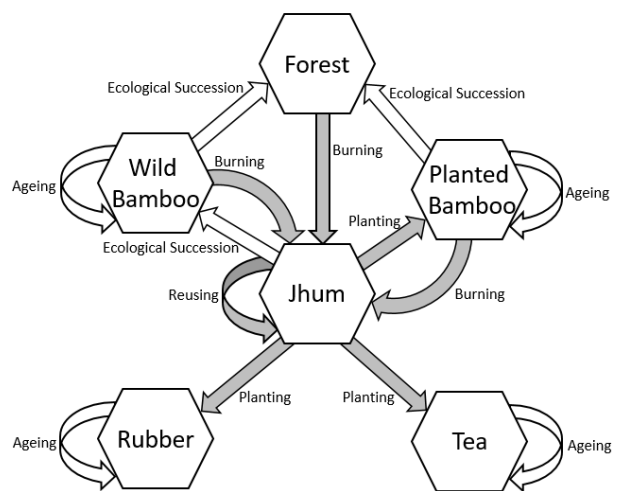


Fig. 3: The model's landscape dynamics as the result of ecological succession and player management. The white pathways are natural processes transforming a landscape tile from one state into the next, while the grey pathways indicate transformations that are the result of interventions made by the players.

167 years after the start of the first round. This is done to allow for incorporating long term processes,
168 without the need for playing too many rounds. The age of a tile since the last burn event is a proxy for
169 its seral stage and allows for vegetation growth and soil fertility regeneration to be represented in the
170 model. The soil fertility of the tile determines the yield of a crop. Colour codes on the landscape tiles
171 indicated the degree of fertility if converted into a Jhum field.

172

173 Players allocate labour to their fields. Labour allocation was measured in labour tokens, each
174 representing six weeks of work for an adult jhum farmer. Players could allocate labour to harvest
175 bamboo, maintain and harvest rubber and tea plantations (the two plantation crops), and to grow rice,
176 vegetables or cash crops (the three jhum crops).

177

178 The Household is where players can choose to improve their standard of living, store their harvest and
179 save their money. When improving the standard of living, the players can choose to buy tokens and
180 allocate these to reflect investments on five different parameters: healthcare, housing, education,
181 religious activities and 'family', which covers life improvements such as weddings, buying meat for
182 dinner more often, new clothes, traveling, etc. The standard of living tokens have a fixed
183 price, independently of where they are allocated.

184

185 The market in the game is where extra labour can be hired or excess labour can be sold, rice and
186 vegetables can be sold or bought to meet family food needs, bamboo and cash crops can be sold by
187 the farmers and materials for establishing plantations of tea and rubber be bought. The market is
188 managed by the research team. We used game money, Karbi Tanka (KT), as game currency. Yields and
189 crop value were derived from interviews and model development workshops. Tea and rubber
190 plantations require additional labour and monetary investments for two rounds before they reach
191 maturity and generate a profit. All the other activities yield returns in the same round.

192

193 Session organization

194 The game was played with a total of 48 jhum farmers during 12 separate workshops, spread over seven
195 different villages in the Northern Karbi Anglong, from September to December 2016 (Fig. 1).
196 Participants were compensated twice the locally going daily wages. This would allow players to hire
197 someone to do the farm work that would need to be done during the busy harvest season, while also
198 compensating the players for their own time. The payment was independent of the player's
199 performance in the game. No player participated twice in the final version of the game.

200

201 Each session had the same starting conditions, with the initial landscape (game board) reflecting a
202 mosaic of secondary forest and fallows. The workshops were held in the local language Karbi, and the
203 introduction to the workshop and the game were done following a written script, to ensure all games
204 were introduced through the same procedure. The players were given the objective to acquire enough
205 rice to feed their family. No other game objectives were given, allowing the players to develop their
206 own strategies, and define their own objectives as the game unfolded.

207

208 The game is played for six rounds, with each round consisting of nine consequential steps (Table 1).
209 One game session represents 18 years of landscape change. The first round was used to familiarize the
210 players with the game and its rules. Most players did not have problems playing after round one.

211

212 The session ends with a debriefing, which allows players to reflect on their experience during the game,
213 compare strategies, and discuss links with the real system. Players develop narratives to explain the
214 decisions they took in the game, and we discussed differences and similarities between what happened
215 in the game and what happens in real life. The debriefing is thus also used to validate the model and
216 its outputs (Garcia et al. 2016).

217

218

219

Table 1. Game round structure. The tasks of the players and research team during each step of a game round.

| Step | Player | Research team |
|------|---|--|
| 1 | Select jhum land, convert tiles to jhum, claim land ownership | Note tile types chosen for conversion to jhum |
| 2 | Hiring and allocating labour | Note labour purchases and allocation |
| 3 | Planting jhum crops | Note # of each crop type planted, take photograph of landscape |
| 4 | Harvesting crops and bamboo | |
| 5 | Selling harvests at the market & buying rice (if needed), standard of living tokens and plantations | Note # tokens sold/bought/kept and plantation seedlings bought Update landscape age and resources, except jhum fields, for next round |
| 6 | Allocate standard of living tokens | Note token allocation, take photograph of household sheet |
| 7 | Pay plantation management costs | Track player seedling/plantation ownership and payments |
| 8 | Meet family food requirements | Collect rice and vegetable tokens. |
| 9 | Replace old jhum with planted/wild bamboo or plantations | Count money left in each player household, update landscape. Take photograph of landscape. |
| | Next round | |

220

221 Data collection

222 The data was gathered via note taking, photographs and audio recordings (cf. Table 1). Prior agreed
223 consent was asked for and received on data been used anonymously and confidentially based on the
224 KFPE (Swiss Commission for Research Partnerships with Developing Countries) principles (Stoekli et
225 al. 2014). During the game sessions and for each round, we recorded players' decisions and the
226 associated reward, which included (1) players' labour allocation to different crops, (2) player's money
227 allocation to different categories of living standards, and (3) players' income from different sources.
228 Players could allocate money to hire labour, buy rice and vegetable tokens, buy and plant two
229 plantations crops (tea, rubber), and invest into the five standard of living parameters (education,
230 health, house, religion, and family). We assumed that the money allocation to the different investment
231 opportunities, as well as the standard of living categories, is reflective of the player's strategic
232 priorities. Their income could come from the three jhum crops, planted bamboo, the two plantation
233 crops rubber and tea, and from selling family labour. We further recorded the number of landscape
234 tiles of each cover type among forest, jhum, planted bamboo, wild bamboo and the two plantations
235 crops for each round.

236

237 Data analysis

238 All decisions made by the players, such as labour token placement, the number of plantations bought,
239 standard of living investments made, crops produced and bamboo harvested, were recorded during
240 the workshops by members of the research team. Photographs were taken of the landscape and the
241 player household sheets to allow for double-checking the data recorded (table 1). The debriefing
242 sessions were recorded on voice recorders and video, for subsequent transcriptions and translations.
243 These discussions allowed us to better understand the reasoning behind observed decisions made by
244 the players during the simulation. As the debriefing sessions with the players themselves were
245 discussions of the results observed during the workshops, we add their discussion inputs into our
246 discussion section.

247

248 In a first step, we examined players' decisions and their associated reward over round. We then
249 investigated the cumulative monetary return for the cumulative labour investment and for the
250 cumulative monetary investment at the end of the game. Finally, we analysed landscape cover changes
251 over rounds. All data analyses were performed in R (R Development Core Team 2008).

252

253 Labour allocation: We investigated trends in number of labour tokens dedicated to the different crop
254 types over *round* by fitting a generalised additive mixed effect model (GAMM) with a Poisson
255 distribution from the package MGCV (version 1.8-6, Wood 2011) to account for nonlinearity. We used
256 a full tensor product smooths for the fixed continuous effect *round*. The same procedure was applied
257 for the other GAMMs performed in this study, except when stated otherwise. We fitted one model for
258 each crop type plus one for the cover type *jhum*, regrouping the three *jhum* crop types (rice, vegetables
259 and cash crops). In all models, we accounted for the random effects of the *player ID* and the *village ID*.

260

261 Money allocation: Similarly to labour allocation, we fitted a GAMM to examine the trend over *round*
262 of the monetary expenditures (non-cumulative) of the players for each money allocation category

263 except for the standard of living improvement which were grouped together into one category. In a
264 second step, we analysed the cumulative monetary expenditure of the different standard of living
265 separately over round with a generalised linear mixed effect model (GLME) from the LME4 package
266 (version 1.1-17, Bates et al. 2015) with a Gaussian distribution, again treating *player ID* and *village ID*
267 as random effects. The response variable, cumulative monetary expenditure, was square root
268 transformed to fulfil criterion of the Gaussian distribution.

269

270 Player income: To evaluate the monetary gain of labour allocation strategies and of money allocation
271 strategies, we investigated the relationships between the cumulative income at the end of the game
272 per cumulative number of labour token allocated to each category and per cumulative amount of
273 money allocated to each category. In both cases, we fitted a GLME with a Poisson distribution and a
274 square root link function and included *player ID* and *village ID* as random effect. The first model
275 included the two fixed effects, *round* and crop type. Crop type included jhum (regrouping the three
276 jhum crop types), rubber, and tea. Even though players could also allocate labour to bamboo, this
277 category was excluded from the model because one labour token allocated to bamboo always
278 generates 270 KT each round, as determined by the game mechanism. There is thus no variability in
279 this relationship. The second model included *round* and investment category. The investment
280 categories included jhum (regrouping the three jhum crop types), rubber, tea and hired labour. The
281 income generated by labour hired from the market was calculated using the average income generated
282 by one labour token for each player for each round. Bamboo and standard of living improvements
283 were excluded from the model. The former because it requires no monetary investment and the
284 second because it does not generate revenue. It should be noted that the cumulative income
285 generated by labour allocation to jhum varies depending on the combination of jhum crops planted.
286 The cumulative income generated by labour and money allocated to rubber and tea likewise varies as
287 it depends on the age of plantation at the end of the game. A young plantation will not have had time
288 to generate income, in contrast to a 6-round old plantation.

289

290 Landscape cover changes: For each cover type, we fitted a GAMM with *round* as a fixed effect, and
291 *workshop ID* as a random effect. The changes in the landscape over round as the result of a 'no human
292 intervention'-scenario were included as a baseline.

293

294

295 Results

296 Labour allocation

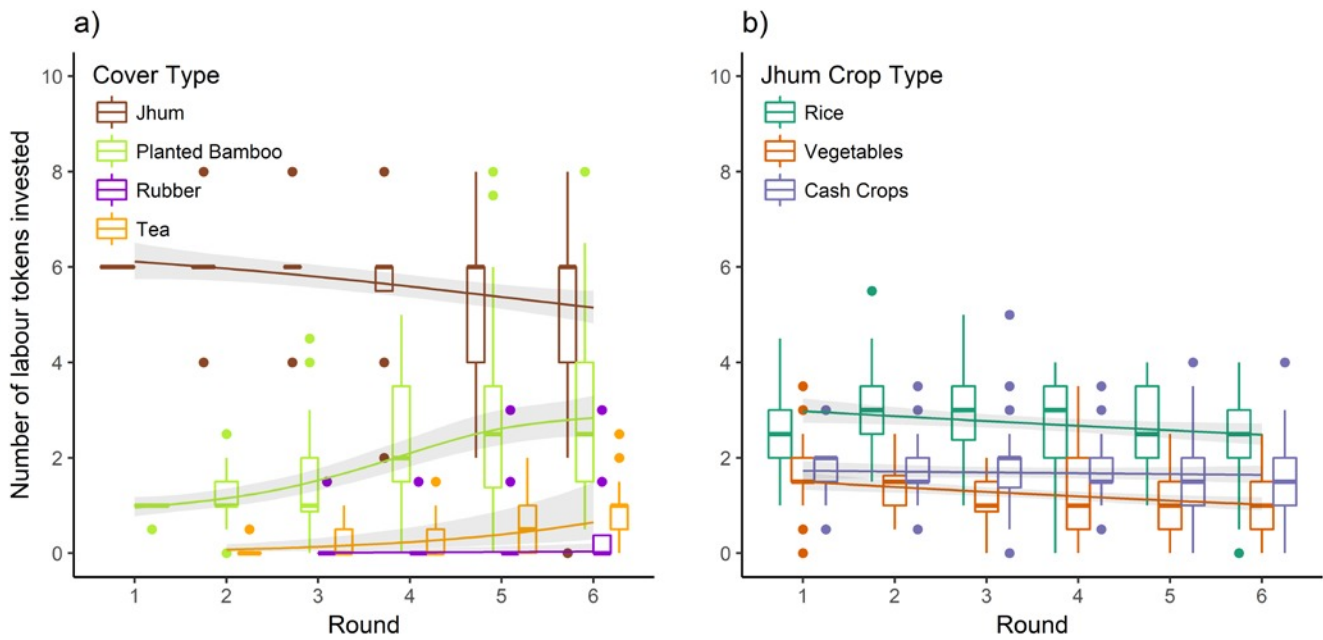
297 Over the course of the game, players allocated most of their labour token to jhum (mean=5.66 tokens
298 per round, SD=1.12 tokens per round) which also remained their main source of income throughout
299 the game (mean=562.74 KT per round, SD=218.11 KT per round), closely followed by harvesting
300 planted bamboo (mean=515.16 KT per round, SD=386.24 KT per round). Most of their wealth was
301 invested into improving living standard (mean=760.41 KT per round, SD=368.76 KT per round), in
302 particular education, with important variation amongst the players (mean=232.29 KT per round,
303 SD=160.68 KT per round). In each session, few players planted tea and/or rubber (mean=3.25 players
304 owned a tea plantation per session, SD=1.05, mean=1.08 players owned a rubber plantations per
305 session, SD=0.90), and plantations never represented more than 16.27% of the landscape (mean=9.1%,
306 SD=4.40%).

307

308 Labour allocated to jhum followed a slow downwards trend over the rounds, while labour allocated to
309 harvesting bamboo, cultivating tea and rubber increased over the rounds (Fig. 4a). Models for the
310 number of labour tokens allocated to different land cover described 9.6%, 46.8%, 87.4% and 70.9% of
311 the deviance for jhum, planted bamboo, rubber and tea, respectively. In all cases, the smoothing term
312 for *round* differed from zero ($p < 0.001$). In all cases, the variation in the number of labour tokens
313 allocated increased over rounds (GLME model: intercept (reference Jhum) = -0.052, SE = 0.113,

314 additional effect for Tea = -0.053, SE = 0.151, additional effect for rubber = -0.145, SE = 0.151, *round*
315 *effect* = 0.199, additional effect for bamboo = -0.078, SE = 0.151, *round effect* = 0.199, SE = 0.027,
316 additional *round effect* for Tea = -0.107, SE = 0.039, additional *round effect* for rubber = -0.056, SE =
317 0.039, additional *round effect* for bamboo = 0.070, SE = 0.039, with workshop as a random effect). The
318 labour requirements of rubber plantations are three times as high as those of tea, however, more
319 labour was allocated to tea. The total number of labourer hired increased over rounds from 0 in round
320 1 (SD=0) to 2.208 (SD=2.018) in round 6.

321 The players invested most of their labour in jhum (as shown in Fig. 4a), with rice being given a higher
322 priority than cash crops and vegetables (Fig. 4b). However, the distribution of these crops varied
323 amongst the players. Though growing cash crops on jhum land is the most profitable activity in the
324 game, there is no indication that the farmers increased their production. The model estimated an
325 overall decrease over time without obvious differences in slopes between the crop types. (GLMER
326 model: intercept (reference rice) = 1.822, SE = 0.056, additional effect for vegetables = -0.644, SE =
327 0.099, additional effect for cash crops = -0.570, SE = 0.092, *round effect* = -0.036, SE = 0.015, additional
328 *round effect* for vegetables = -0.040, SE = 0.024, additional *round effect* for cash crops = 0.026, SE =
329 0.024). Selling family labour was not a strategy employed by the players in the game.



331 Fig. 4. Labour allocated to the different land cover types (a) and crop types (b), per round. The boxplots and the dots represent the dispersion
 332 of the observed data. The lines are the predictions from generalised additive models accounting for the nested random effects of the players
 333 with village ID. The grey area represents the 95% confidence intervals.

334

335

336 Money allocation

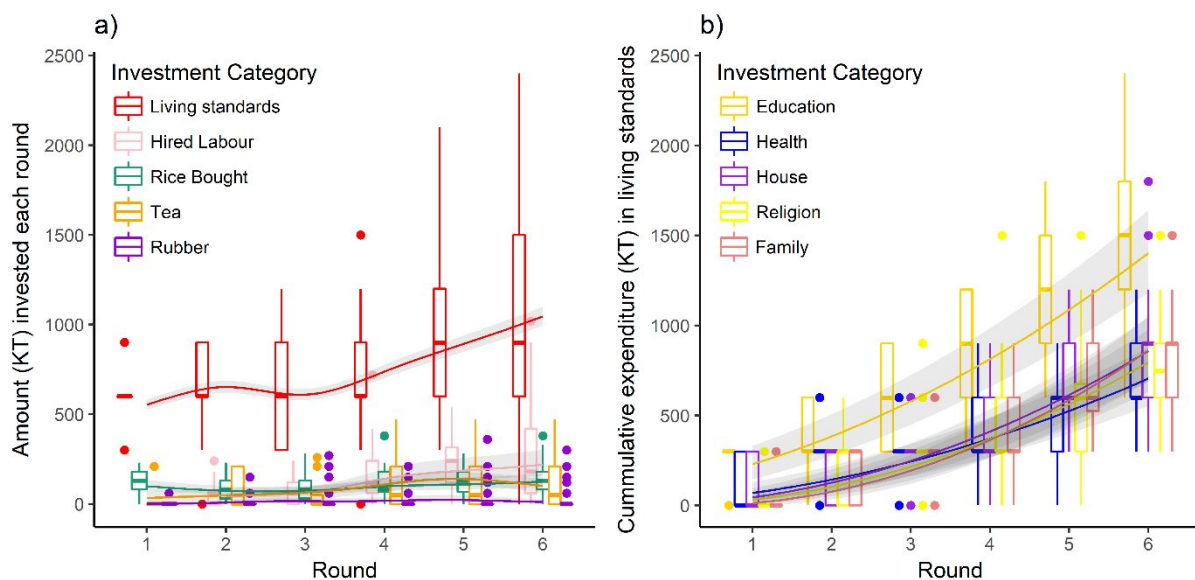
337 Every round, players consistently allocated most of their money to improving their standards of living
 338 (Fig. 5a). Spending on the other categories (Fig. 5a) was considerably less. The players moderately increased
 339 their spending on hired labour, buying rice and planting tea as the rounds progressed (Fig. 5a). The
 340 models for the money allocated to different categories described 37.1%, 57.2%, 44.7%, 31.3% and
 341 57.2% of the deviance for living standards, hired labour, rice bought, tea, rubber, respectively. In all
 342 cases, the smoothing term for round differed from zero ($p < 0.001$). Most players, 39 out of the 48
 343 participants, invested money in tea before the end of the game, while 17 of the players chose to invest
 344 in rubber. However, the total investment, compared to the other spending categories was small.

345

346 The workshop participants allocated money to one of five categories amongst education, health, house
 347 religion and family to improve their standard of living. The cumulative investments in education were

348 higher than in any of the other categories, and remained so as the game progressed (Fig. 5b). The
 349 model describing cumulative investment over round per standard of living investment category
 350 estimated a higher initial investment into education than into the other categories, with the lowest
 351 initial investment allocated to family (GLME model performed on the squared root of the response
 352 variable: intercept (reference education) = 10.688, SE = 0.935, additional effect for health = -5.986, SE =
 353 = 1.219, additional effect for house = -8.455, SE = 1.219, additional effect for religion = -9.483, SE =
 354 1.219, additional effect for family = -12.194, SE = 1.219). There were no differences in the rate at which
 355 cumulative investment increased over round between education, house and religion, whereas
 356 cumulative investment in health increased at a slower pace and family at a faster pace compared to
 357 education (round effect = 4.459, SE = 0.221, additional round effect for health = -0.817, SE = 0.313,
 358 additional round effect for house = 0.051, SE = 0.313, additional round effect for religion = 0.042, SE =
 359 0.313, additional round effect for family = 0.685, SE = 0.313).

360



361 Fig. 5. Monetary investments. Investment in the different categories per round (a) and cumulatively for the different standard of living
 362 categories (b). The boxplots and the dots represent the dispersion of the observed data. The trends are the predictions from the models, the
 363 grey area the corresponding 95% confidence interval, including the variation from the random effects. Jhum and bamboo are not shown
 364 since they require no monetary investment.

365

366

367 Players' income

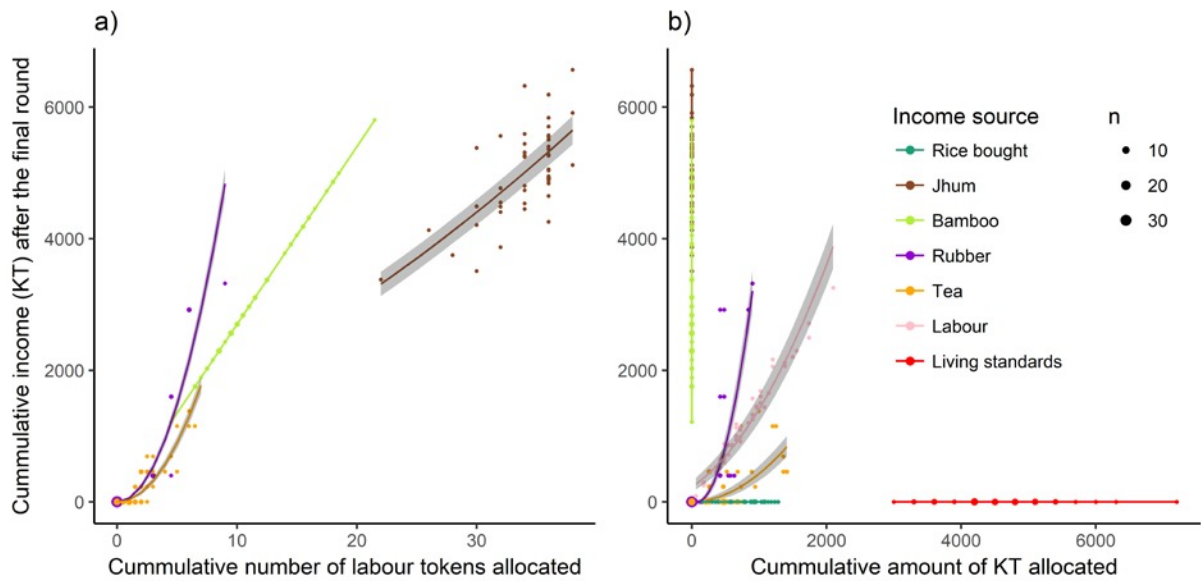
368 Players could receive an income for their investment in labour and/or money into different activities,
369 as determined by the game mechanisms. Jhum was the main source of income for most players and
370 harvesting bamboo second (Fig. 6). Yet one labour token allocated to bamboo generated a cumulative
371 higher income compared to a labour token allocated to jhum (Fig. 6a). As jhum and bamboo only
372 requires labour allocation and no financial investments, these activities generated income for a
373 cumulative financial investment of zero (Fig. 6b).

374

375 Many players failed to generate income from allocating labour and money to tea and rubber (18 out
376 of 39 and 11 out of 17 respectively, Fig. 6). Players investing into plantations chose to invest mostly in
377 tea rather than in rubber (39 vs 17 players respectively), yet the players who invested in rubber derived
378 on average higher income from each labour token and each monetary unit allocated to this plantation
379 type compared to those investing in tea (for *labour token allocation*: Fig. 6a, glmer model on the
380 squared root of the response variable: effect of jhum at the intercept = 46.930, SE = 0.989, effect of
381 rubber at the intercept = 95.465, SE = 0.881, effect of tea at the intercept = 74.409, SE = 0.953, effect
382 of cumulative labour for jhum = 17.115, SE = 0.482, effect of cumulative labour for rubber = 120.613,
383 SE = 0.713, effect of cumulative labour for tea = 94.158, SE = 0.940, for *financial investment*: Fig. 6b,
384 glmer model on the squared root of the response variable: effect of rubber at the intercept = 39.656,
385 SE = 3.066, effect of tea at the intercept = 13.75, SE = 1.640, effect of labour at the intercept = 29.782,
386 SE = 1.548, effect of cumulative amount of KT for rubber = 28.266, SE = 3.617, effect of cumulative
387 amount of KT for tea = 8.269, SE = 1.693, effect of cumulative amount of KT for labour = 9.282, SE =
388 1.183).

389

390 Even though allocating money to improving standard of living is not an income generating activity in
391 the game, this is the category to which players allocated the most money (Fig. 6b).



392

393

Fig. 6. Total cumulative income generated at the end of the game by the cumulative number of labour tokens allocated to (a) and financial investment in (b) the different categories, over the course of the game. The dots represent the observed data, the size of the dot reflects the number of observation at each location. The trends are the predictions from the models, the grey area the corresponding 95% confidence interval, including the variation from the random effects.

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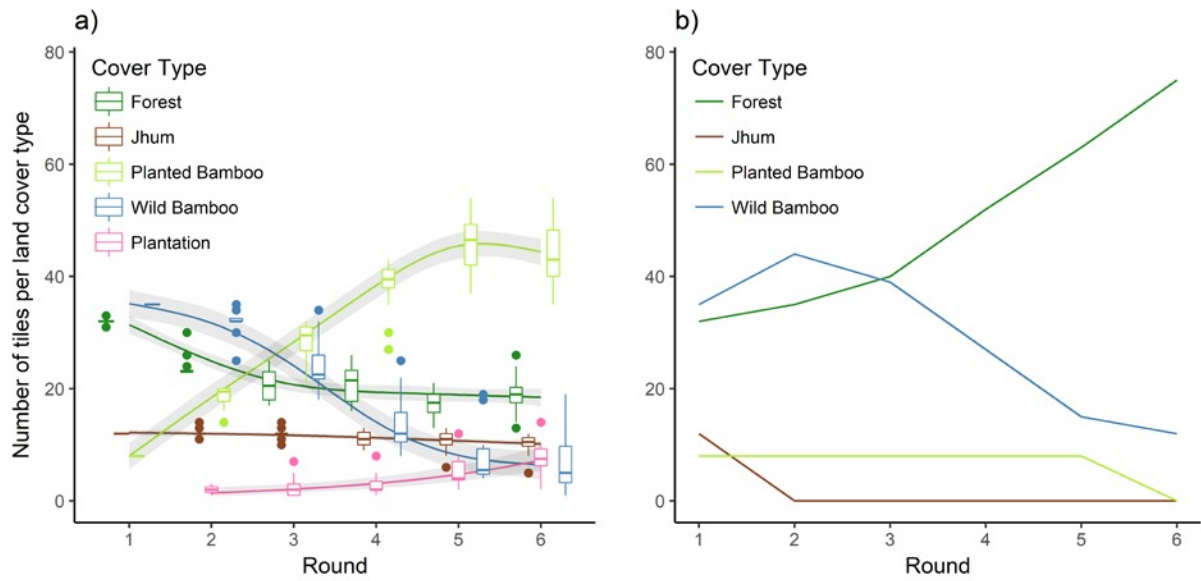
399 Landscape cover

400

The Landscape (gameboard) evolved from a wild bamboo and forest dominated landscape to a planted bamboo dominated landscape (Fig. 7a). The same initial landscape left unexploited would have evolved towards a forest dominated landscape (Fig. 7b). The decrease of wild bamboo happened in both settings reflecting ecological succession, as after six rounds, wild bamboo turns into secondary forest. The same applies to planted bamboo; left unmanaged, planted bamboo turns into forest after six rounds. The area dedicated to jhum decreases gradually over game round while plantations of both rubber and tea are slowly appearing into the landscape (Fig. 7a). The models for forest, jhum, planted bamboo, wild bamboo and plantation cover over round described 78.7%, 29%, 97.1%, 95.1% and 85.0% of the deviance, respectively. In all cases, round was found to have a smoothing term different from zero ($p < 0.001$).

410

411



412

413 Fig. 7. Trajectory of landscape composition as exploited in the game (a) versus baseline landscape with natural succession only from round 2
414 onwards (b). The boxplots and the dots represent the dispersion of the observed data, and the lines are the predictions from generalised
415 additive models accounting for the nested random effects of the players with villages; the grey area represents the 95% confidence interval
416 and the variation from the random effects (a).

417

418

419 Discussion

420 In this study, we examined the behavior of small-scale farmers from the Karbi Anglong hills in India
421 immersed in a role-playing game representing their socio-ecosystem. In addition to planting jhum,
422 farmers had the possibility to harvest and sell bamboo and plant and sell rubber and tea, representing
423 economic alternatives that have recently become available in the area (Shrivastava and Heinen 2005,
424 2007, Krishna 2012). We found that despite the presence of these alternatives, labour is mainly
425 allocated to jhum, even after six game rounds, representing 18 years of farming. Jhum also remained
426 the main source of income despite not being the most efficient income generating activity per unit of
427 labour allocated. Agricultural practices were nevertheless changing over the course of the game as
428 increasingly more labour was allocated to harvesting bamboo. Selling bamboo was even the second
429 main source of income by the end of the game. On the other hand, establishment of tea and rubber

430 plantations was slow, even though rubber was the most financially profitable allocation of both labour
431 and money. Money was primarily allocated to improving standard of living, in particular into education.
432 This suggests that even though there is some diversification in agricultural practices—mostly with the
433 appearance of bamboo harvesting—players hold on to traditional farming practices. This strategy in
434 the game is adopted despite the possibility to plant rubber and tea, two emerging plantation systems
435 in the region, which are economically more interesting alternatives to jhum (Krishna 2012).

436

437 Even though farmers allocated increasingly less labour to jhum, this remained the main labour
438 investment category throughout the game. Instead of planting exclusively cash crops, the most
439 financially rewarding jhum crop, farmers opted for planting a mixture of rice, vegetables and cash
440 crops. Allocating labour to jhum cultivation of mixed crops is suboptimal in terms of income per labour
441 unit. The best return is obtained by allocating labour to rubber early in the game. However, very few
442 resources were allocated to growing rubber. In contrast to jhum cultivation, investing in rubber has a
443 financial cost; it generates income only after a few rounds of exploitation. The initial financial
444 investment and the income lag may have put off players to adopt rubber early in the game. Yet, this
445 alone does not explain the predominance of jhum as the next best alternative—from an economic
446 perspective—is harvesting and selling bamboo. Like jhum cultivation, bamboo requires no financial
447 investment. Harvesting bamboo generates a higher income per units of labour than jhum with mixed
448 crops and, unlike rubber, has an immediate return. Accordingly, we found that over the course of the
449 game increasingly more labour was allocated to harvesting planted bamboo.

450

451 The maintenance of jhum cultivation alongside the increase in bamboo harvesting suggests that
452 farmers are not aiming at maximizing their income per unit of labour. One of the reasons brought
453 forward by the players during the game sessions was that jhum rice is considered to be tastier than
454 food from the market, and they attributed higher health benefits to rice and vegetables intercropped
455 and grown on their jhum. Wangpan and Tangjang (2015) pointed out similar findings for the Arunachal

456 Pradesh region of India, where jhumming plays an important role harbouring precious germplasms of
457 different indigenous plant species, which are also selected for taste preferences and nutritional
458 benefits. Dietary diversity has found to be higher under a jhum regime in comparison to other cash
459 cropping systems (Behera et al. 2016). Mixed- or multi-cropping systems are more resilient to
460 disturbances (Trenbath 1999); however, mixing more species requires more work and knowledge,
461 especially about timing. Jhum is hard work (e.g., seeding, weeding, planting, guarding crops from
462 wildlife, harvesting). Reducing workload was often cited by participants of the game as one of the main
463 reasons to develop alternative income strategies, echoing the position expressed by other farmers
464 from similar systems (Feintrenie et al. 2010). However, the reluctance of abandoning shifting
465 agriculture, even when other options are available is not unique to this case study (Hansen and Mertz,
466 2006, Bodonirina et al. 2018).

467

468 Fewer resources were invested into rubber and tea compared to any other investment alternative in
469 the game despite both being perceived by the participants as good investment. The lowlands in Assam
470 have been used for growing tea since colonial times (Das 2016). Based on game workshop participants'
471 statements, owning a tea garden is a status symbol, and perceived to be a path to wealth. Planting tea
472 is also recognized as a low risk investment, mainly because crop-raiding wildlife such as elephants
473 tends to avoid tea gardens; tea seems not to be palatable to elephants (Mackenzie and Ahabyona
474 2012). However, depending on tea for household income has its drawback, as harvested tea needs to
475 be cured the same day, and this can locally only be done at the large tea estates. These estates are in
476 full control of the prices offered to outside producers, and the better prices are associated with high
477 quality requirements that are hard for small-scale farmers to meet (Awashti 1975). The tea estates also
478 have irregular demand for freshly plucked tea and can only accommodate tea from outside producers
479 when their own fresh tea production does not meet production capacity (Awashti 1975). It may be
480 because of these constraints—known to famers but not incorporated in the game mechanism—that
481 establishing tea plantations was not one of the prevailing strategies in the game.

482

483 India is one of the world-leading rubber producers with over 88% of its production being placed in the
484 smallholder sector (Fox and Castello 2013). Rubber represents potentially an interesting crop for small-
485 holders due to its qualities of intercropping. It can be intercropped for both, short rotation and long-
486 term agroforestry systems (Levang et al. 2007). In the game, more labour and money was invested in
487 establishing tea plantations than for planting rubber, even though the long-term rewards of rubber
488 are much larger and the work was also perceived as easy and light comparatively. The participants to
489 the game workshops, however, have no real life experience in growing and harvesting rubber
490 themselves. Human-wildlife conflicts are prevailing in Assam, especially elephants as the main crop-
491 raiders (Talukdar and Choudhury 2017). The vicinity of Kaziranga National Park is perceived by the
492 players as extra risk to investing in rubber plantations with fencing investments being prohibitive for
493 the small-scale farmers. According to the participants of the game workshops, only a few young rubber
494 plantations have been established in the area so far, and the facilities for processing harvested latex
495 are still out of reach for most farmers. An embrace of rubber as the main crop, as observed elsewhere
496 in Southeast Asia (Ziegler et al. 2009, Fox and Castella 2013), has not become apparent in our case
497 study.

498

499 Most of the money spent by players was allocated to improving their standard of living before
500 allocating it to any income-generating activity. This likely reflects the high importance the workshop
501 participants attributed to their quality of life. During the game, players would compare how much
502 others had invested into standard of living parameters such as education, housing, or family, and would
503 spend considerable time deciding in which category to invest. Amongst the different standard of living
504 categories available in the game, players invested the most into education. Though free, the local
505 schools were considered by the participants to be of very low quality, with children going to school
506 just to receive the free state-funded lunch, instead of education. To the parents it is paramount that
507 their children need to be well educated to have a chance of escaping poverty. One concern issued by

508 the game workshop participants is that sending children off to boarding schools bears the complication
509 that children and teenagers would no longer be doing farm chores, resulting in a loss of skills and the
510 motivation to continue the hard-labour jhum farming. The difficulty to enter and succeed in the school
511 system, and the job market afterwards, as voiced by the Karbi participants, is reflective of India's
512 primary and higher education system, which still suffers from high inequalities based on cast and
513 ethnicity (Desai and Kulkarni 2008).

514

515 The choices and decisions of the farmers in the game impacted the landscape which transitioned from
516 a forest and wild bamboo dominated landscape towards a planted bamboo dominated landscape with
517 few rubber and tea plantations. The traditional jhum system has only recently seen the introduction
518 of bamboo gardens as an improved fallow (Das and Das 2005, Shrivastava and Heinen 2005, Nath and
519 Das 2008). As time progressed in the game, these fallows took over, while the first plantations slowly
520 appeared in the game landscape. The planted bamboo was described by some of the players to be a
521 stepping stone that allows for investments in tea and rubber at a later stage. Once local processing
522 infrastructure gets developed and highway access to international markets, especially China and
523 Myanmar, two important rubber producers (Fox and Castella 2013) is improved, farmers might be able
524 to reap the benefits.

525

526 Though jhum is perceived as hard and intense work and carrying a high risk of loss to wildlife, farmers
527 prioritized jhum cultivation over the more economically lucrative tea and rubber plantations.
528 Abandoning shifting agriculture for other land uses and practices obviously is not just an economic
529 affair; where livelihoods are at risk, or, where vulnerabilities to change and risks of failure are difficult
530 to grasp, people favour the current system. Farmers are however willing to explore new opportunities,
531 and most of the players established at least one small plantation. When asked how the farmers viewed
532 the changes in the landscape that occurred during the game sessions, the response was that the
533 changes were good, providing them more income. Throughout the simulations it was evident that the

534 Farmers were actively trying to secure a better livelihood for their children, and both the investment
535 in education, as well as the plantations were seen as a means for the next generation to escape
536 poverty.

537

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546

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